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Case No.: 58391US002

U6 2 2 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

FAN, XUDONG

Application No.:

10/685049

Group Art Unit:

2811

Filed:

October 14, 2003

Examiner:

Unknown

Title:

HYBRID SPHERE-WAVEGUIDE RESONATORS

## INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that this correspondence is being:

☑ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

August 19, 2005 Date

Signed by: Colleen M. Wagner

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

August 18, 2005

Date

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Office of Intellectual Property Counsel 3M Innovative Properties Company

Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

NR 5 5 5002 8

(Lise as many sheets as necessary)

Page 1 of 1

| Application Number   | 10/685049        |
|----------------------|------------------|
| Filing Date          | October 14, 2003 |
| First Named Inventor | Fan, Xudong      |
| Art Unit             | 2811             |
| Examiner Name        | Unknown          |
| Attorney Case Number | 58391US002       |

| U.S. Patent Documents |             |                                  |                                |                                |   |
|-----------------------|-------------|----------------------------------|--------------------------------|--------------------------------|---|
| Exam.<br>Init.*       | Cite<br>No. | Document Number                  | Publication Date or Issue Date | Name of Patentee               | Pages, Columns, Lines, Where                    |
|                       |             | Doc. Number-(Kind Code if Known) | MM-DD-YYYY                     | or Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |
|                       | A1          | US- 2002/0068018                 | 06/06/2002                     | Pepper et al.                  |   |
|                       | A2          | US-                              |                                |                                |   |
|                       | А3          | US-                              |                                |                                |   |
|                       | A4          | US-                              |                                |                                |   |
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|                       | A6          | US-                              |                                |                                |   |
|                       | <b>A</b> 7  | US-                              |                                |                                |   |
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|                       | A11         | US-                              |                                |                                |   |

| Foreign Patent Documents |             |                         |                            |                  |                             |   |                |
|--------------------------|-------------|-------------------------|----------------------------|------------------|-----------------------------|---|----------------|
| Exam.<br>Init.*          | Cite<br>No. | Foreign Patent Document |                            | Publication Date | Name of Patentee or         | Pages, Columns, Lines,                                | Translation    |
|                          |             | Ctry. Code              | Number-KindCode (If known) | MM-DD-YYYY       | Applicant of Cited Document | Where Relevant Passages<br>or Relevant Figures Appear | (Check if yes) |
|                          | B1          | wo                      | 01/67565                   | 09/13/2001       |                             |   |                |
|                          | B2          | wo                      | 02/13337                   | 02/14/2002       |                             |   |                |
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|                          | B4          |                         |                            |                  | -                           |   |                |
|                          | B5          |                         |                            |                  |                             |   | -              |
|                          | В6          |                         |                            |                  |                             |   |                |
|                          | B7          |                         |                            |                  |                             |   |                |

| OTHER DOCUMENTS |             |  |                               |  |
|-----------------|-------------|--|-------------------------------|--|
| Exam.<br>Init.* | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation<br>(Check if yes) |  |
|                 | C1          |  |                               |  |
|                 | C2          |  |                               |  |
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| *Examiner: |  |
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Date Considered:

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